

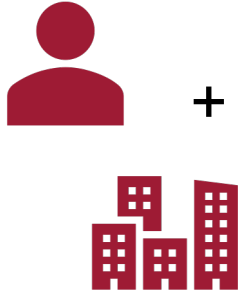
Cardiff Law Society: **A brief update on our Standards and** **Regulations and some impacts of** **Covid -19**

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20 April 2020

SRA Standards and Regulations



Revised
principles



New codes
of conduct
for
individuals
and firms



Authorisation
rules
including
price
transparency
and logo



Simplified
accounts
rules



New
practising
flexibility

So : 25 November 2019

- What did we do beforehand to get ready?
- How do we think the launch went?
- What are the issues arising ?
- What next ?

Getting ready ...

- Internal training
- EART pilot
- External engagement
- Guidance and document review
- Website review and new design

The launch itself

- Overall we feel it went well
- Ethics helpline very busy
- Few minor glitches with broken links on website content etc

Issues arising

- Early days
- Range of responses
- Clarification/ guidance needed in some areas eg:
 - Publicity
 - Client identification
 - Conflicts of Interest

What next ...

- New areas for guidance and other improvements
- Possible consultations on key issues
- Website update – easy to find /more bespoke content
- Development of the Solicitors Register
- Wider evaluation of reforms

And then Covid -19

- All homeworking including our Ethics helpline and our Contact Centre
- Dealing with the questions received
- Pragmatic and proportionate response
- See <https://www.sra.org.uk/sra/news/coronavirus-update/>
- More regular updates by email

Some common Covid 19 Q and As

- Virtual witnessing /wills
- Accounts rules – promptly and accountant reports
- Undertakings
- AML issues

Some common Covid 19 Q and As

- Education and training issues – see separate Q and As at <https://www.sra.org.uk/sra/news/coronavirus-questions-answers/>
- PII issues

Longer term impacts

- Monitoring risk and financial instability issues
- Reporting to us – obligation in our Code for Firms
- See also our reporting guidance:
<https://www.sra.org.uk/solicitors/guidance/ethics-guidance/reporting-notification-obligations/>

Questions / issues ?